



## Clinical trial results:

**A Phase IV, 12-week, randomised, double-blind, triple dummy study to compare single inhaler triple therapy, fluticasone furoate/umeclidinium/vilanterol (FF/UMEC/VI) with multiple inhaler therapy (budesonide/formoterol plus tiotropium) based on lung function and symptoms in participants with chronic obstructive pulmonary disease**

### Summary

EudraCT number	2017-001150-33
Trial protocol	CZ DE PT
Global end of trial date	18 March 2019

### Results information

Result version number	v1 (current)
This version publication date	01 February 2020
First version publication date	01 February 2020

### Trial information

#### Trial identification

Sponsor protocol code	207609
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#### Additional study identifiers

ISRCTN number	-
ClinicalTrials.gov id (NCT number)	-
WHO universal trial number (UTN)	-

Notes:

### Sponsors

Sponsor organisation name	GlaxoSmithKline
Sponsor organisation address	980 Great West Road, Brentford, Middlesex, United Kingdom, 1
Public contact	GSK Response Center, GlaxoSmithKline, 1 8664357343, GSKClinicalSupportHD@gsk.com
Scientific contact	GSK Response Center, GlaxoSmithKline, 1 8664357343, GSKClinicalSupportHD@gsk.com

Notes:

### Paediatric regulatory details

Is trial part of an agreed paediatric investigation plan (PIP)	No
Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial?	No
Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial?	No

Notes:

## Results analysis stage

Analysis stage	Final
Date of interim/final analysis	24 May 2019
Is this the analysis of the primary completion data?	No
Global end of trial reached?	Yes
Global end of trial date	18 March 2019
Was the trial ended prematurely?	No

Notes:

## General information about the trial

Main objective of the trial:

To evaluate the effects of single inhaler triple therapy (FF/UMEC/VI) compared to multiple inhaler triple combination therapy with budesonide/formoterol plus tiotropium after 12 weeks of treatment on lung function

Protection of trial subjects:

Not Applicable

Background therapy: -

Evidence for comparator: -

Actual start date of recruitment	25 June 2018
Long term follow-up planned	No
Independent data monitoring committee (IDMC) involvement?	No

Notes:

## Population of trial subjects

### Subjects enrolled per country

Country: Number of subjects enrolled	Czech Republic: 59
Country: Number of subjects enrolled	Germany: 91
Country: Number of subjects enrolled	United States: 582
Worldwide total number of subjects	732
EEA total number of subjects	150

Notes:

### Subjects enrolled per age group

In utero	0
Preterm newborn - gestational age < 37 wk	0
Newborns (0-27 days)	0
Infants and toddlers (28 days-23 months)	0
Children (2-11 years)	0
Adolescents (12-17 years)	0
Adults (18-64 years)	344
From 65 to 84 years	380
85 years and over	8

## Subject disposition

### Recruitment

#### Recruitment details:

This was a randomized, multicenter, double blind, parallel group study where participants with chronic obstructive pulmonary disease (COPD) were randomized to receive either fluticasone furoate/umeclidinium/vilanterol or budesonide/formoterol plus tiotropium in a 1:1 ratio. The study was conducted across 60 centers in 3 countries.

### Pre-assignment

#### Screening details:

A total of 1120 participants were screened in the study, of which 289 participants failed during screening. Of the 831 participants who entered the run-in period, 99 participants were run-in failures. A total of 732 participants were randomized and received randomized treatment.

### Period 1

Period 1 title	Overall Study (overall period)
Is this the baseline period?	Yes
Allocation method	Randomised - controlled
Blinding used	Double blind
Roles blinded	Subject, Investigator, Monitor, Data analyst, Carer, Assessor

### Arms

Are arms mutually exclusive?	Yes
<b>Arm title</b>	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg

#### Arm description:

Participants received fluticasone furoate/umeclidinium/vilanterol (FF/UMEC/VI) 100/62.5/25 microgram (mcg) via the ELLIPTA (once daily in the morning) plus placebo to match budesonide/formoterol (BUD/FOR) via metered dose inhaler (MDI) (two inhalations twice daily) plus placebo to match tiotropium (TIO) via HandiHaler (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Arm type	Experimental
Investigational medicinal product name	Fluticasone furoate/Umeclidinium/Vilanterol
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Inhalation powder, pre-dispensed
Routes of administration	Inhalation use

#### Dosage and administration details:

Fluticasone furoate/Umeclidinium/Vilanterol (100/62.5/25 mcg) was available as dry white powder to be administered via ELLIPTA once daily in the morning.

Investigational medicinal product name	Placebo matching Budesonide/Formoterol
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Pressurised inhalation, suspension
Routes of administration	Inhalation use

#### Dosage and administration details:

Participants received two inhalations of placebo matching Budesonide/Formoterol via MDI twice daily.

Investigational medicinal product name	Placebo matching Tiotropium
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Inhalation powder
Routes of administration	Inhalation use

#### Dosage and administration details:

Placebo matching tiotropium was available as hard gelatin capsule containing lactose. Participants received placebo matching tiotropium once daily in the morning via HandiHaler device.

Investigational medicinal product name	Albuterol/salbutamol
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Inhalation powder
Routes of administration	Inhalation use

**Dosage and administration details:**

Participants received short-acting Albuterol/Salbutamol as-rescue medication during the study period, if required.

<b>Arm title</b>	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
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**Arm description:**

Participants received two inhalations of budesonide/formoterol 160/4.5 mcg via MDI in the morning and two inhalations in the evening (total dose of 320/9 mcg twice daily) plus tiotropium 18 mcg via HandiHaler (once daily in the morning) plus placebo to match fluticasone furoate/umeclidinium/vilanterol via the ELLIPTA (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Arm type	Active comparator
Investigational medicinal product name	Budesonide/Formoterol
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Pressurised inhalation, suspension
Routes of administration	Inhalation use

**Dosage and administration details:**

Budesonide/Formoterol was available as suspension for inhalation. Participants received two inhalations of Budesonide/Formoterol (320/9 mcg) via MDI twice daily.

Investigational medicinal product name	Placebo matching Fluticasone furoate/Umeclidinium/Vilanterol
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Inhalation powder, pre-dispensed
Routes of administration	Inhalation use

**Dosage and administration details:**

Placebo matching Fluticasone furoate/Umeclidinium/Vilanterol was available as dry white powder to be administered via ELLIPTA once daily in the morning.

Investigational medicinal product name	Tiotropium
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Inhalation powder
Routes of administration	Inhalation use

**Dosage and administration details:**

Tiotropium was available as a hard gelatin capsule containing 18 mcg of tiotropium bromide blended with lactose. Participants received tiotropium (18 mcg) once daily in the morning via HandiHaler device.

Investigational medicinal product name	Albuterol/salbutamol
Investigational medicinal product code	
Other name	
Pharmaceutical forms	Inhalation powder
Routes of administration	Inhalation use

**Dosage and administration details:**

Participants received short-acting Albuterol/Salbutamol as-rescue medication during the study period, if required.

Number of subjects in period 1	Fluticasone furoate/Umeclidiniu m/Vilanterol 100/62.5/25 mcg	Budesonide/formoter ol 320/9 mcg plus tiotropium 18 mcg
Started	366	366
Completed	349	354
Not completed	17	12
Adverse event, serious fatal	-	2
Physician decision	6	3
Consent withdrawn by subject	9	4
Adverse event, non-fatal	1	3
Lost to follow-up	1	-

## Baseline characteristics

### Reporting groups

Reporting group title	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg
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Reporting group description:

Participants received fluticasone furoate/umeclidinium/vilanterol (FF/UMEC/VI) 100/62.5/25 microgram (mcg) via the ELLIPTA (once daily in the morning) plus placebo to match budesonide/formoterol (BUD/FOR) via metered dose inhaler (MDI) (two inhalations twice daily) plus placebo to match tiotropium (TIO) via HandiHaler (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Reporting group title	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
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Reporting group description:

Participants received two inhalations of budesonide/formoterol 160/4.5 mcg via MDI in the morning and two inhalations in the evening (total dose of 320/9 mcg twice daily) plus tiotropium 18 mcg via HandiHaler (once daily in the morning) plus placebo to match fluticasone furoate/umeclidinium/vilanterol via the ELLIPTA (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Reporting group values	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg	Total
Number of subjects	366	366	732
Age categorical Units: Subjects			
Total participants	366	366	732
Age Continuous Units: Years arithmetic mean standard deviation	65.5 ± 8.15	65.1 ± 8.36	-
Sex: Female, Male Units: Participants			
Female	180	179	359
Male	186	187	373
Race/Ethnicity, Customized Units: Subjects			
African American/African Heritage	43	23	66
Asian - Japanese Heritage	1	0	1
Asian - South East Asian Heritage	2	5	7
White - Arabic/North African Heritage	1	3	4
White - White/Caucasian/European Heritage	318	335	653
Multiple	1	0	1

## End points

### End points reporting groups

Reporting group title	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg
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Reporting group description:

Participants received fluticasone furoate/umeclidinium/vilanterol (FF/UMEC/VI) 100/62.5/25 microgram (mcg) via the ELLIPTA (once daily in the morning) plus placebo to match budesonide/formoterol (BUD/FOR) via metered dose inhaler (MDI) (two inhalations twice daily) plus placebo to match tiotropium (TIO) via HandiHaler (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Reporting group title	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
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Reporting group description:

Participants received two inhalations of budesonide/formoterol 160/4.5 mcg via MDI in the morning and two inhalations in the evening (total dose of 320/9 mcg twice daily) plus tiotropium 18 mcg via HandiHaler (once daily in the morning) plus placebo to match fluticasone furoate/umeclidinium/vilanterol via the ELLIPTA (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

### Primary: Weighted mean change from Baseline in forced expiratory volume in 1 second (FEV1) over 0-24 hours at Week 12 for modified per protocol (mPP) population

End point title	Weighted mean change from Baseline in forced expiratory volume in 1 second (FEV1) over 0-24 hours at Week 12 for modified per protocol (mPP) population
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End point description:

FEV1 is the maximum amount of air that can be forced out in 1 second after taking a deep breath. Serial FEV1 assessments were performed at multiple time points (-30, -5 minutes[m] pre-dose and 5m, 15m, 30m, 1 hour[h], 3h, 6h, 12h, 15h, 21h, 23h and 24h post-dose) at Week 12. The weighted mean was derived by calculating the area under the FEV1 time curve (AUC) over the actual time of assessment relative to the time of dosing using the trapezoidal rule, and then dividing the value by the time interval over which the AUC was calculated. Baseline FEV1 is average of the two FEV1 measurements made at 30m and 5m pre-dose on Day 1. Weighted mean change from Baseline was calculated by subtracting post-dose weighted mean FEV1 from Baseline FEV1. mPP Population included participants in Intent-to-Treat (ITT) population who do not have protocol deviation of not meeting eligibility or randomization criteria. Only those participants with data available at the specified time points were analyzed.

End point type	Primary
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End point timeframe:

Baseline and Week 12

End point values	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg		
Subject group type	Reporting group	Reporting group		
Number of subjects analysed	274 <sup>[1]</sup>	277 <sup>[2]</sup>		
Units: Liters				
least squares mean (standard error)	0.039 (± 0.0109)	0.029 (± 0.0109)		

Notes:

[1] - mPP Population

[2] - mPP Population

## Statistical analyses

<b>Statistical analysis title</b>	Statistical Analysis 1
Statistical analysis description:	
The primary treatment effect estimated (hypothetical effect) excluded data following intercurrent events; discontinuation of treatment, taking wrong treatment, taking prohibited medication, unblinding, noncompliance, COPD exacerbation or pneumonia.	
Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
Number of subjects included in analysis	551
Analysis specification	Pre-specified
Analysis type	non-inferiority <sup>[3]</sup>
Parameter estimate	Mean difference (net)
Point estimate	0.011
Confidence interval	
level	95 %
sides	2-sided
lower limit	-0.02
upper limit	0.041
Variability estimate	Standard error of the mean
Dispersion value	0.0154

Notes:

[3] - Non-inferiority was to be demonstrated, if the lower bound of the two-sided 95 percentage (%) confidence interval around the (FF/UMEC/VI versus BUD/FOR+TIO) treatment difference was above -50 milliliter.

### Primary: Weighted mean change from Baseline in FEV1 over 0-24 hours at Week 12 for ITT population

End point title	Weighted mean change from Baseline in FEV1 over 0-24 hours at Week 12 for ITT population
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End point description:

FEV1 is the maximum amount of air that can be forced out in 1 second after taking a deep breath. Serial FEV1 assessments were performed at multiple time points (-30 and -5m pre-dose, and 5m, 15m, 30m, 1h, 3h, 6h, 12h, 15h, 21h, 23h and 24h post dose) over 24h period at Week 12. The weighted mean was derived by calculating the area under the FEV1 time curve (AUC) over the actual time of assessment relative to the time of dosing using the trapezoidal rule, and then dividing the value by the time interval over which the AUC was calculated. Baseline FEV1 is the average of the two FEV1 measurements made at 30m and 5m pre-dose on Day 1. Weighted mean change from Baseline at week 12 was calculated by subtracting weighted mean FEV1 at week 12 from Baseline FEV1. ITT Population included all randomized participants, excluding those who were randomized in error. Only those participants with data available at the specified time points were analyzed.

End point type	Primary
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End point timeframe:

Baseline and Week 12

<b>End point values</b>	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg		
Subject group type	Reporting group	Reporting group		
Number of subjects analysed	338 <sup>[4]</sup>	333 <sup>[5]</sup>		
Units: Liters				
least squares mean (standard error)	0.040 (± 0.0099)	0.023 (± 0.0100)		



Notes:

[4] - ITT Population

[5] - ITT Population

## Statistical analyses

Statistical analysis title	Statistical Analysis 1
Statistical analysis description:	
The treatment effect to be estimated was hypothetical effect if all participants stayed on their randomized study treatment. Only on treatment data was included in analysis.	
Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
Number of subjects included in analysis	671
Analysis specification	Pre-specified
Analysis type	superiority
P-value	= 0.244 <sup>[6]</sup>
Method	Mixed model repeated measures
Parameter estimate	Mean difference (net)
Point estimate	0.016
Confidence interval	
level	95 %
sides	2-sided
lower limit	-0.011
upper limit	0.044
Variability estimate	Standard error of the mean
Dispersion value	0.0141

Notes:

[6] - The analysis was performed using mixed model repeated measures analysis, which included covariates of Baseline FEV1, geographical region, treatment, visit, visit by treatment and visit by Baseline interaction.

## Secondary: Change from Baseline in trough FEV1 on Day 2, Day 28, Day 84 and Day 85

End point title	Change from Baseline in trough FEV1 on Day 2, Day 28, Day 84 and Day 85
End point description:	
FEV1 is an important measure of pulmonary function and is the maximum amount of air that can be forced out in one second after taking a deep breath. FEV1 was measured using spirometry. For Day 2 and Day 85, trough FEV1 was defined as the mean of the 23-hour and 24-hour serial spirometry FEV1 measurements. For Day 28 and Day 84, trough FEV1 was defined as the average of the pre-dose FEV1 measurements recorded before the morning dose of randomized study treatment. Change from Baseline in trough FEV1 was calculated by subtracting post-dose trough FEV1 value from Baseline FEV1, where Baseline FEV1 is the average of the two FEV1 measurements made at 30 minutes and 5 minutes pre-dose on Day 1. The treatment effect to be estimated for trough FEV1 was hypothetical effect if all participants stayed on their randomized study treatment. Only those participants with data available at the specified time points were analyzed (represented by n= X in the category titles).	
End point type	Secondary
End point timeframe:	
Baseline, Days 2, 28, 84 and 85	

<b>End point values</b>	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg		
Subject group type	Reporting group	Reporting group		
Number of subjects analysed	355 <sup>[7]</sup>	354 <sup>[8]</sup>		
Units: Liters				
least squares mean (standard error)				
Day 2, n=355,341	0.015 (± 0.0086)	-0.010 (± 0.0087)		
Day 28, n=353,354	0.044 (± 0.0095)	-0.019 (± 0.0095)		
Day 84, n=346,343	0.024 (± 0.0101)	-0.030 (± 0.0102)		
Day 85, n=343,342	0.029 (± 0.0111)	-0.022 (± 0.0111)		

Notes:

[7] - ITT Population

[8] - ITT Population

## Statistical analyses

<b>Statistical analysis title</b>	Statistical Analysis 1
Statistical analysis description:	
Day 2	
Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
Number of subjects included in analysis	709
Analysis specification	Pre-specified
Analysis type	superiority
P-value	= 0.037 <sup>[9]</sup>
Method	Mixed model repeated measures
Parameter estimate	Mean difference (net)
Point estimate	0.026
Confidence interval	
level	95 %
sides	2-sided
lower limit	0.002
upper limit	0.049
Variability estimate	Standard error of the mean
Dispersion value	0.0122

Notes:

[9] - Only if superiority is achieved on the primary study endpoint, then inferences can be made on change from Baseline in trough FEV1 on Day 2 using p-values.

<b>Statistical analysis title</b>	Statistical Analysis 2
Statistical analysis description:	
Day 28	
Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg

Number of subjects included in analysis	709
Analysis specification	Pre-specified
Analysis type	superiority
P-value	< 0.001 <sup>[10]</sup>
Method	Mixed model repeated measures
Parameter estimate	Mean difference (net)
Point estimate	0.063
Confidence interval	
level	95 %
sides	2-sided
lower limit	0.036
upper limit	0.089
Variability estimate	Standard error of the mean
Dispersion value	0.0134

Notes:

[10] - Only if superiority is achieved on the primary study endpoint, then inferences can be made on change from Baseline in trough FEV1 on Day 28 using p-values.

<b>Statistical analysis title</b>	Statistical Analysis 3
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Statistical analysis description:

Day 84

Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
Number of subjects included in analysis	709
Analysis specification	Pre-specified
Analysis type	superiority
P-value	< 0.001 <sup>[11]</sup>
Method	Mixed model repeated measures
Parameter estimate	Mean difference (net)
Point estimate	0.054
Confidence interval	
level	95 %
sides	2-sided
lower limit	0.026
upper limit	0.083
Variability estimate	Standard error of the mean
Dispersion value	0.0144

Notes:

[11] - Only if superiority is achieved on the primary study endpoint, then inferences can be made on change from Baseline in trough FEV1 on Day 84 using p-values.

<b>Statistical analysis title</b>	Statistical Analysis 4
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Statistical analysis description:

Day 85

Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
Number of subjects included in analysis	709
Analysis specification	Pre-specified
Analysis type	superiority
P-value	= 0.001 <sup>[12]</sup>
Method	Mixed model repeated measures
Parameter estimate	Mean difference (net)
Point estimate	0.051

Confidence interval	
level	95 %
sides	2-sided
lower limit	0.021
upper limit	0.082
Variability estimate	Standard error of the mean
Dispersion value	0.0157

Notes:

[12] - Only if superiority is achieved on the primary study endpoint, then inferences can be made on change from Baseline in trough FEV1 on Day 85 using p-values.

## Secondary: Weighted mean change from Baseline in FEV1 over 0-24 hours on Day 1

End point title	Weighted mean change from Baseline in FEV1 over 0-24 hours on Day 1
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End point description:

FEV1 is the maximum amount of air that can be forced out in one second after taking a deep breath. FEV1 was measured using spirometry. Serial FEV1 assessments were performed at multiple time points (-30 and -5 minutes pre-dose, and 5 minutes, 15 minutes, 30 minutes, 1 hour, 3 hours, 6 hours, 12 hours, 15 hours, 21 hours, 23 hours and 24 hours post dose) over 24-hour period on Day 1. The weighted mean was derived by calculating the area under the FEV1 time curve (AUC) over the actual time of assessment relative to the time of dosing using the trapezoidal rule, and then dividing the value by the time interval over which the AUC was calculated. Baseline FEV1 is the average of the two FEV1 measurements made at 30 minutes and 5 minutes pre-dose on Day 1. Weighted mean change from Baseline on Day 1 was calculated by subtracting weighted mean FEV1 on Day 1 from Baseline FEV1. Only those participants with data available at the specified time points were analyzed.

End point type	Secondary
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End point timeframe:

Baseline and Day 1

<b>End point values</b>	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg		
Subject group type	Reporting group	Reporting group		
Number of subjects analysed	360 <sup>[13]</sup>	356 <sup>[14]</sup>		
Units: Liters				
least squares mean (standard error)	0.045 (± 0.0069)	0.041 (± 0.0070)		

Notes:

[13] - ITT Population

[14] - ITT Population

## Statistical analyses

<b>Statistical analysis title</b>	Statistical Analysis 1
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Statistical analysis description:

The treatment effect to be estimated was hypothetical effect if all participants stayed on their randomized study treatment. Only on treatment data was included in analysis.

Comparison groups	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg v Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
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Number of subjects included in analysis	716
Analysis specification	Pre-specified
Analysis type	superiority
P-value	= 0.702 <sup>[15]</sup>
Method	Mixed model repeated measures
Parameter estimate	Mean difference (net)
Point estimate	0.004
Confidence interval	
level	95 %
sides	2-sided
lower limit	-0.016
upper limit	0.023
Variability estimate	Standard error of the mean
Dispersion value	0.0098

Notes:

[15] - Only if superiority is achieved on the primary study endpoint, then inferences can be made on weighted mean change from Baseline in FEV1 over 0-24 hours on Day 1 using p-values.

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

Serious adverse events (SAEs) and non-SAEs were reported from start of study treatment and up to Week 13

Adverse event reporting additional description:

Non-SAEs and SAEs were reported for ITT Population. Adverse events were presented treatment-wise.

Assessment type	Systematic
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### Dictionary used

Dictionary name	MedDRA
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Dictionary version	22.0
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### Reporting groups

Reporting group title	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg
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Reporting group description:

Participants received two inhalations of budesonide/formoterol 160/4.5 mcg via MDI in the morning and two inhalations in the evening (total dose of 320/9 mcg twice daily) plus tiotropium 18 mcg via HandiHaler (once daily in the morning) plus placebo to match fluticasone furoate/umeclidinium/vilanterol via the ELLIPTA (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Reporting group title	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg
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Reporting group description:

Participants received fluticasone furoate/umeclidinium/vilanterol (FF/UMEC/VI) 100/62.5/25 microgram (mcg) via the ELLIPTA (once daily in the morning) plus placebo to match budesonide/formoterol (BUD/FOR) via metered dose inhaler (MDI) (two inhalations twice daily) plus placebo to match tiotropium (TIO) via HandiHaler (once daily in the morning) for 84 days. Participants received albuterol/salbutamol as rescue medication during conduct of the study, if required.

Serious adverse events	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	
Total subjects affected by serious adverse events			
subjects affected / exposed	20 / 366 (5.46%)	16 / 366 (4.37%)	
number of deaths (all causes)	2	0	
number of deaths resulting from adverse events			
Neoplasms benign, malignant and unspecified (incl cysts and polyps)			
Lung adenocarcinoma			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 1	0 / 0	
Squamous cell carcinoma of lung			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	

Vulval cancer stage 0			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Injury, poisoning and procedural complications			
Femur fracture			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Fracture displacement			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Meniscus injury			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Muscle rupture			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Cardiac disorders			
Acute myocardial infarction			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Angina pectoris			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Atrial fibrillation			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	1 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	

Cardiac failure congestive subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Myocardial infarction subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Stress cardiomyopathy subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Nervous system disorders			
Cerebral infarction subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Seizure subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Transient ischaemic attack subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 2	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
General disorders and administration site conditions			
Chest pain subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Hernia subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	



Non-cardiac chest pain			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Gastrointestinal disorders			
Enteritis			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Gastrointestinal haemorrhage			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Respiratory, thoracic and mediastinal disorders			
Acute respiratory failure			
subjects affected / exposed	3 / 366 (0.82%)	2 / 366 (0.55%)	
occurrences causally related to treatment / all	0 / 3	1 / 2	
deaths causally related to treatment / all	0 / 0	0 / 0	
Chronic obstructive pulmonary disease			
subjects affected / exposed	6 / 366 (1.64%)	6 / 366 (1.64%)	
occurrences causally related to treatment / all	0 / 6	1 / 7	
deaths causally related to treatment / all	0 / 1	0 / 0	
Chronic respiratory failure			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Respiratory failure			
subjects affected / exposed	0 / 366 (0.00%)	2 / 366 (0.55%)	
occurrences causally related to treatment / all	0 / 0	0 / 2	
deaths causally related to treatment / all	0 / 0	0 / 0	
Musculoskeletal and connective tissue disorders			
Knee deformity			

subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Lumbar spinal stenosis			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Musculoskeletal chest pain			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Osteoarthritis			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Infections and infestations			
Appendicitis			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Cellulitis			
subjects affected / exposed	1 / 366 (0.27%)	0 / 366 (0.00%)	
occurrences causally related to treatment / all	0 / 1	0 / 0	
deaths causally related to treatment / all	0 / 0	0 / 0	
Influenza			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Parainfluenzae virus infection			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Pneumonia			

subjects affected / exposed	1 / 366 (0.27%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 1	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Sepsis			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	
Urinary tract infection			
subjects affected / exposed	0 / 366 (0.00%)	1 / 366 (0.27%)	
occurrences causally related to treatment / all	0 / 0	0 / 1	
deaths causally related to treatment / all	0 / 0	0 / 0	

Frequency threshold for reporting non-serious adverse events: 3 %

<b>Non-serious adverse events</b>	Budesonide/formoterol 320/9 mcg plus tiotropium 18 mcg	Fluticasone furoate/Umeclidinium/Vilanterol 100/62.5/25 mcg	
Total subjects affected by non-serious adverse events			
subjects affected / exposed	27 / 366 (7.38%)	20 / 366 (5.46%)	
Nervous system disorders			
Headache			
subjects affected / exposed	8 / 366 (2.19%)	13 / 366 (3.55%)	
occurrences (all)	10	16	
Infections and infestations			
Nasopharyngitis			
subjects affected / exposed	19 / 366 (5.19%)	7 / 366 (1.91%)	
occurrences (all)	19	8	

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

Date	Amendment
09 November 2017	Amendment 1: This amendment was required as the incorrect EudraCT number was provided in the Regulator Agency Identifying Number(s) section on the title page, in error. In addition, the physical form of Symbicort and matching placebo was reported as a solution for inhalation, which is incorrect, the correct physical form is a suspension for inhalation. An additional footnote was added to the Schedule of Activities, to provide clarity on the collection of trough FEV1 spirometry on Day 28.
17 July 2018	Amendment 2: This amendment was required to update the QT interval corrected for heart rate (QTc) stopping criteria to that which was used in the Phase III Trelegy registration studies. In addition, a section describing Smoking Cessation Counselling had been added as does a corresponding assessment at the end of study (Visit 4). Clarified that it is preferable to have the participants stay at the clinic or approved facility during the serial spirometry assessments. Clarified that run-in medication will be collected at Visit 2. Correction made (reference section) regarding prohibited medications within a specified time interval during pre-screening and prior to Visit 1. Also, wording regarding suggested order for assessments and procedures had been added to the end of the Schedule of Activities (SoA) section. Removed reference to Fridericia formula in calculation of QTc. Clarification regarding collecting the Chronic Obstructive Pulmonary Disease (COPD) Assessment Test (CAT) assessment questionnaire prior to the St. George's Respiratory Questionnaire for COPD participants (SGRQ-C) had also been provided along with clarification that vital signs should be collected before the electrocardiogram (ECG) and prior to spirometry. Corrected reporting time regarding pregnancy. Routine urinalysis assessment has been deleted as this will not be collected during the study. Finally, added wording to Genetics Appendix regarding withdraw process and sample destruction process.

Notes:

### Interruptions (globally)

Were there any global interruptions to the trial? No

### Limitations and caveats

None reported